



British
Geological Survey

NATURAL ENVIRONMENT RESEARCH COUNCIL

Gateway to the Earth

Examining the functionality of rural water supplies



An interdisciplinary team



BGS



ODI



Unlocking the Potential of Groundwater for the Poor

UPGro is a seven-year international research programme (2013-2020) focused on improving the evidence base around groundwater availability and management in Sub-Saharan Africa (SSA) to enable developing countries and partners in SSA to use groundwater in a sustainable way in order to benefit the poor.

The UPGro Programme has two phases.

The **Catalyst Phase** (2013-2015) comprised 15 one-year projects, undertaken in 14 countries.

The **Consortium Phase** (2015-2019) comprises five projects working across nine countries.

BRAVE

- Building understanding of climate variability into planning of groundwater supplies from low storage aquifers in Africa

Gro For Good

- Groundwater Risk Management for Growth and Development

GroFutures

- Groundwater Futures in Sub-Saharan Africa

Hidden Crisis

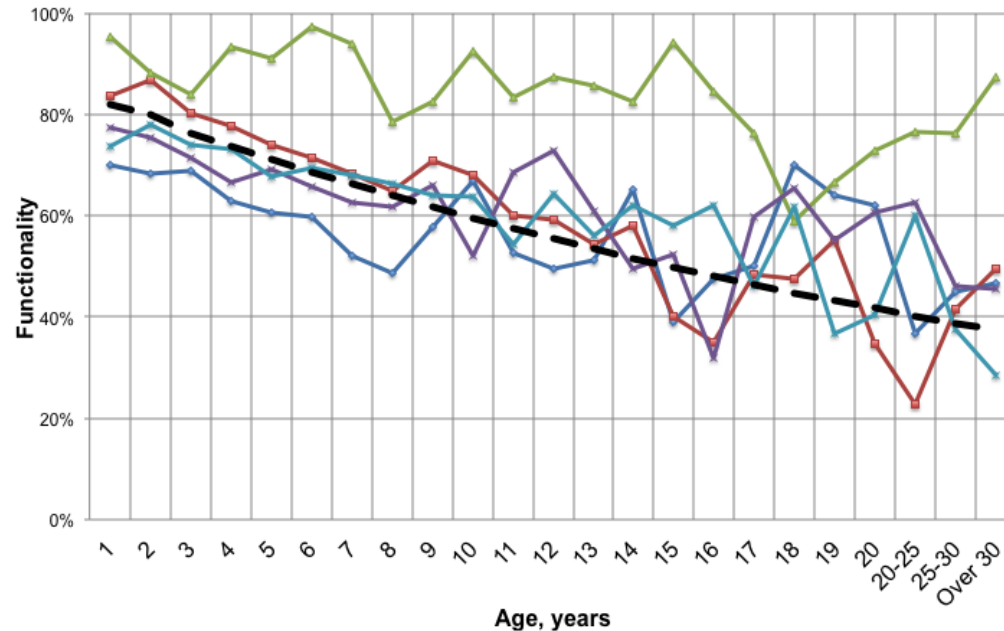
- Unravelling current failures for future success in rural groundwater supply

T-GroUP

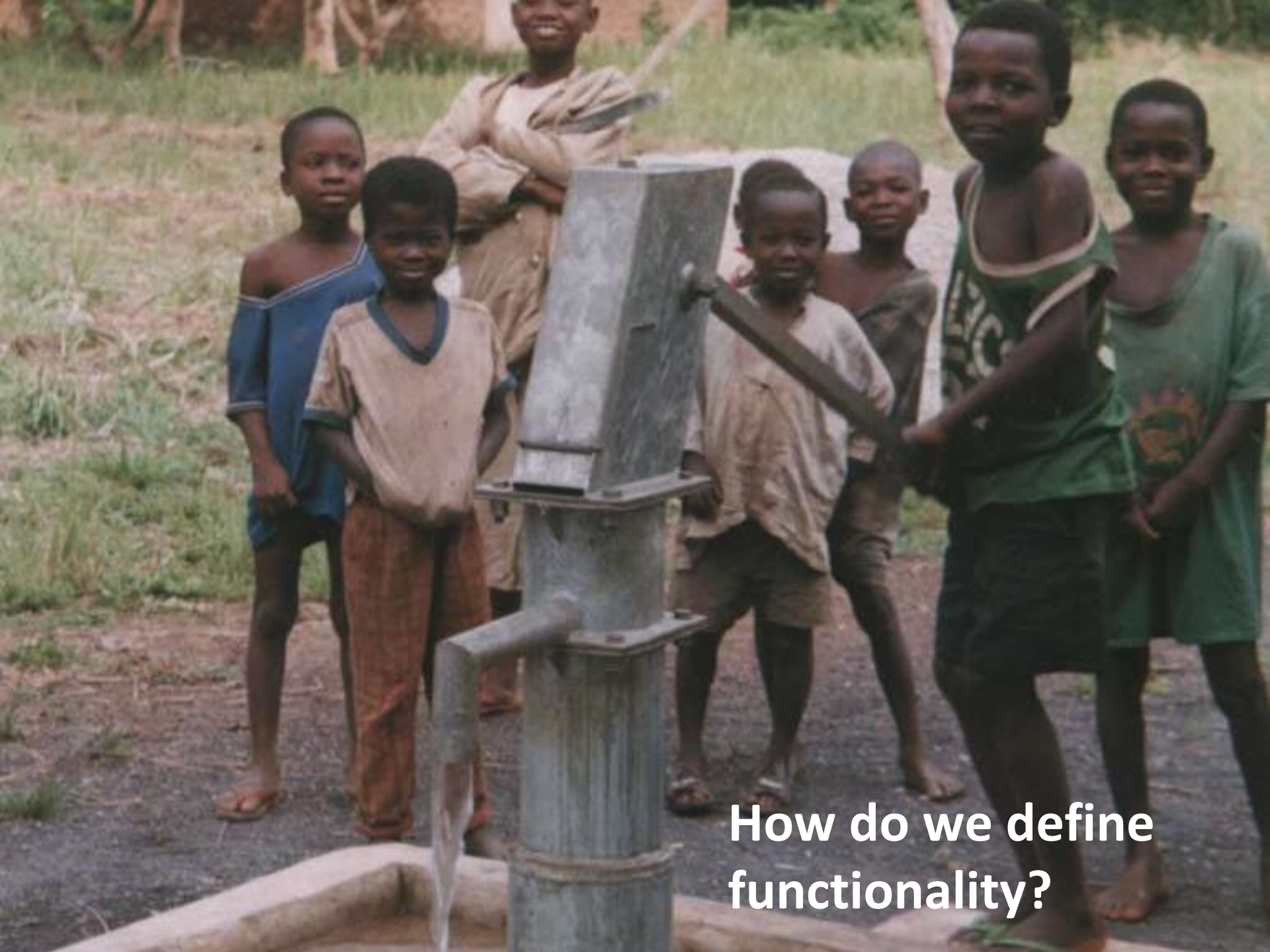
- Experimenting with practical transition groundwater management strategies for the urban poor in Sub-Saharan Africa



Why look at functionality?



- Surveys consistently suggest 20 – 40% non functional
- Many of the benefits of improved access to water are lost
- Cumulative cost estimated to be \$1.2b
- Often hidden – focus on coverage.



How do we define
functionality?

Common practice

Examined 111 studies...

1. don't define (majority)
2. Binary: working /not working
3. More complex definitions
– e.g. partial working
4. Tiered definitions
5. Broad sustainability assessment
6. Assessed against standard



Key points for best practice

- Measure against an explicit standard and population
- Measure separately from the users' experience
- Allow for tiered assessments
- Distinguish between a snapshot and temporal

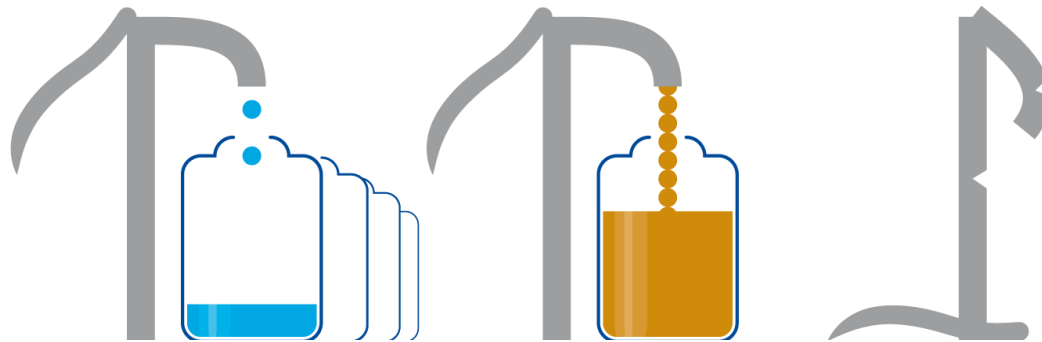
Is it practicable ??



Working definitions

HPB functionality An assessment of how a handpump equipped borehole compares to a standard design criteria for quantity [and quality]* at a given moment in time.

HPB performance an assessment of how successfully a hand pumped equipped borehole functions over time.



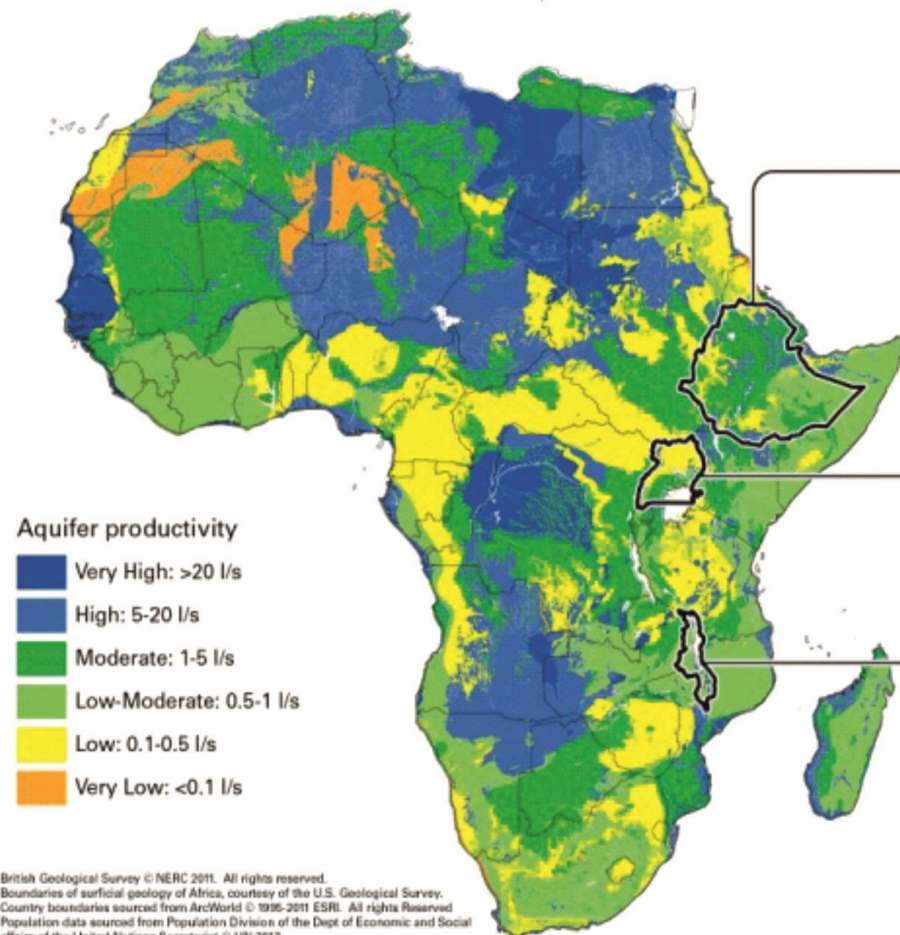
Testing the definitions

Developed broad
survey method

Two stage random
stratified sampling
method

Apply to 200 WPs
in Uganda, Ethiopia
and Malawi

Functionality of
water points &
governance
arrangements



British Geological Survey © NERC 2011. All rights reserved.
Boundaries of surficial geology of Africa, courtesy of the U.S. Geological Survey.
Country boundaries sourced from ArcWorld © 1996-2011 ESRI. All rights Reserved
Population data sourced from Population Division of the Dept of Economic and Social
affairs of the United Nations Secretariat © UN 2012.
Access figures source from JMP 2012.

Ethiopia

Survey 1 completed June 2016
Survey 2 - March - June 2017

Uganda

Survey 1 June - Sept 2016
Survey 2 June - Sept 2017

Malawi

Survey 1 started Sept 1 2016
Survey 3 Sept - Nov 2017

Methods

- 30 minute stroke test
- Water chemistry
- TTCs
- Leakage test
- Questions on downtime in the past year
- Questions about perceived service level



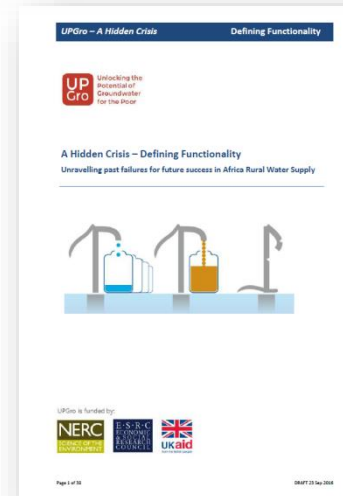
Rapid governance survey

- Meta data
- 10 questions on functionality of the water committee
- Questions on the general governance of water point
- Repeat questions on the user perception

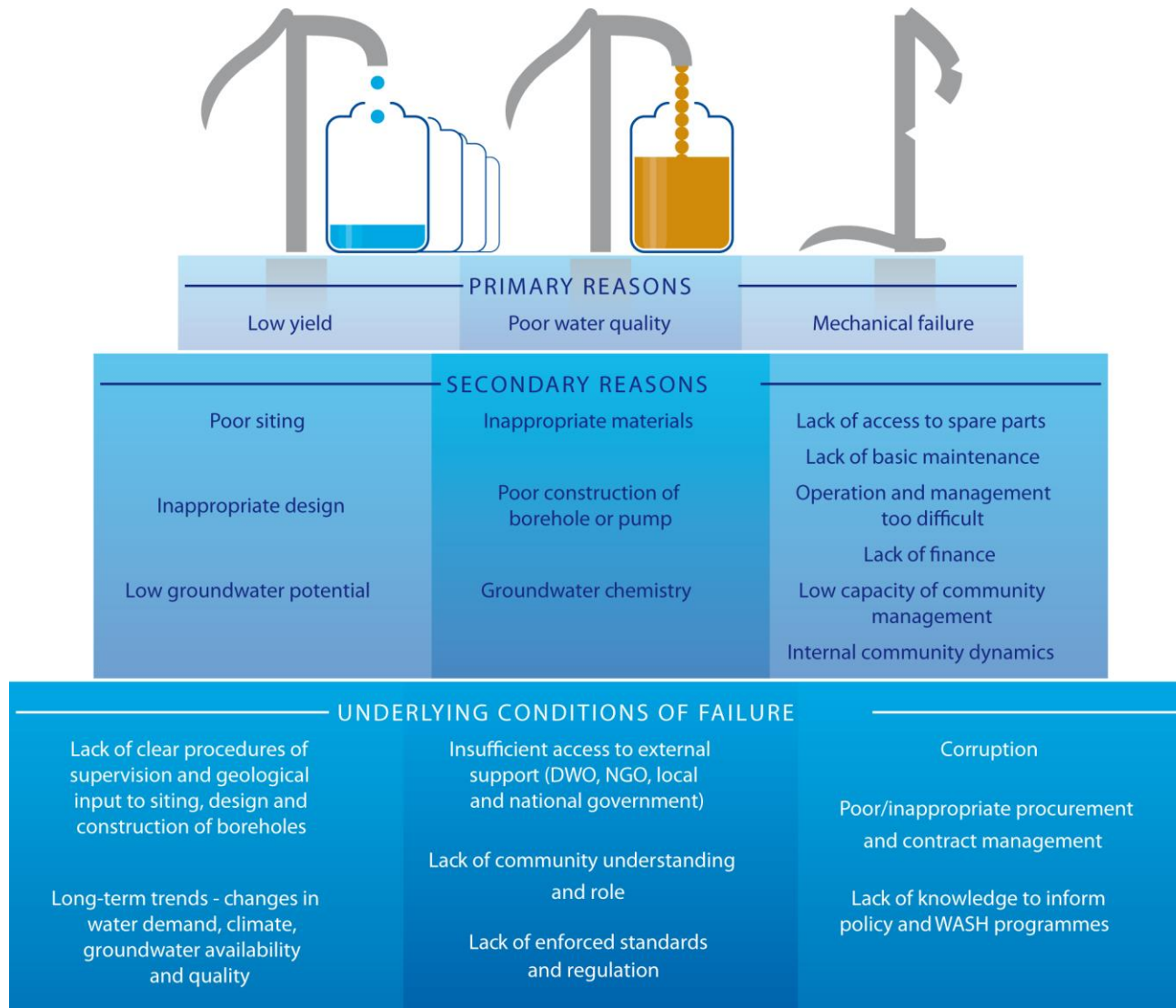


Results

- Finished Ethiopia and Uganda, Malawi ongoing
- 3 – 4 sites per day
- Quality difficult + expensive
- Preliminary analysis shows:
 - Value of random sampling
 - Preference for performance over functionality
 - Many partially functioning
- Analysis workshop in November 2016
- Refined definitions and methods
- Input to new SWA global standard



Stage 2: investigate *causes* ...



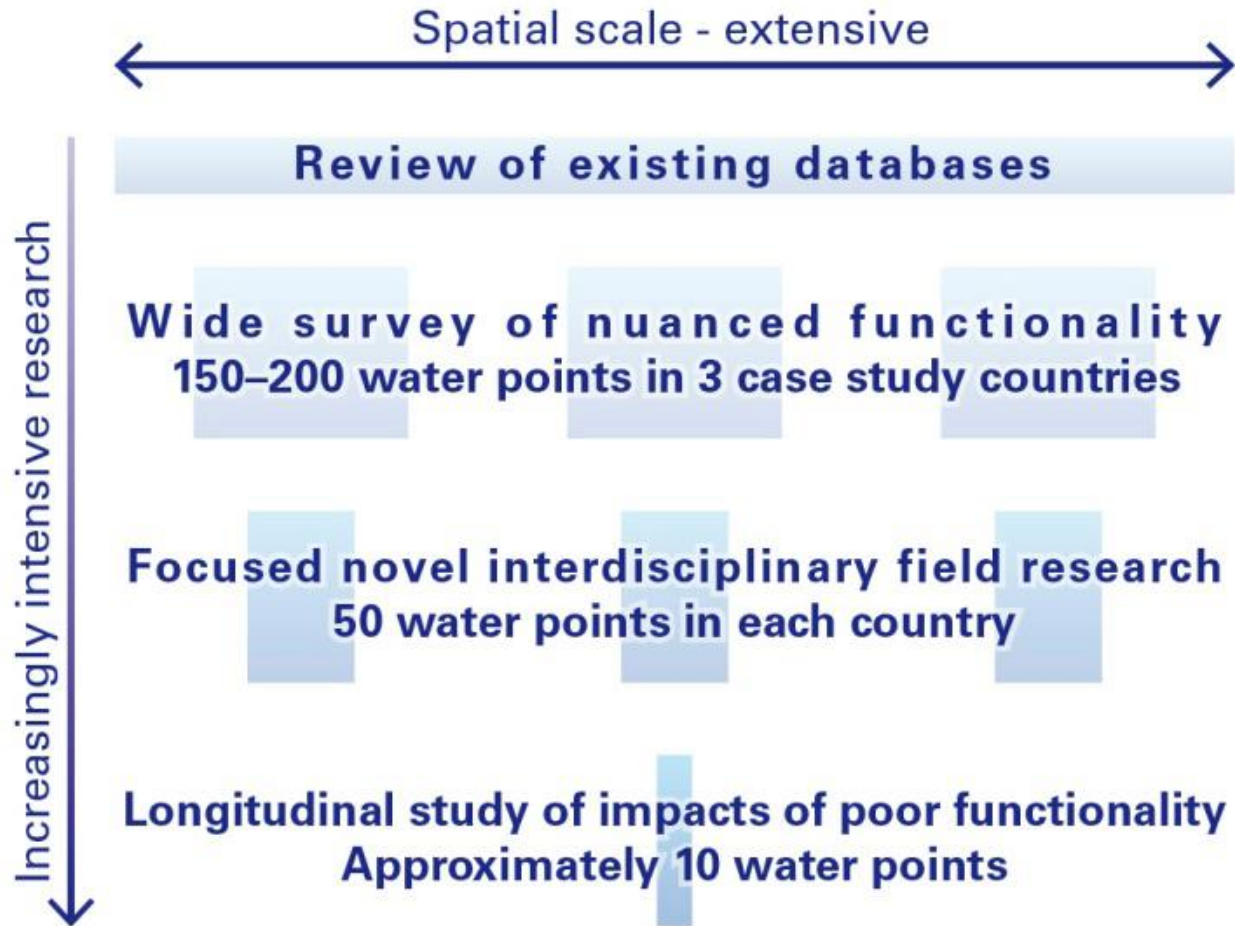
Summary

- Definitions matter !
- Be clear about what is being measured
- Detailed research: from anecdote to evidence
- Good statistics are just the start of the process
- Look out for reports/papers/workshops



Thank You

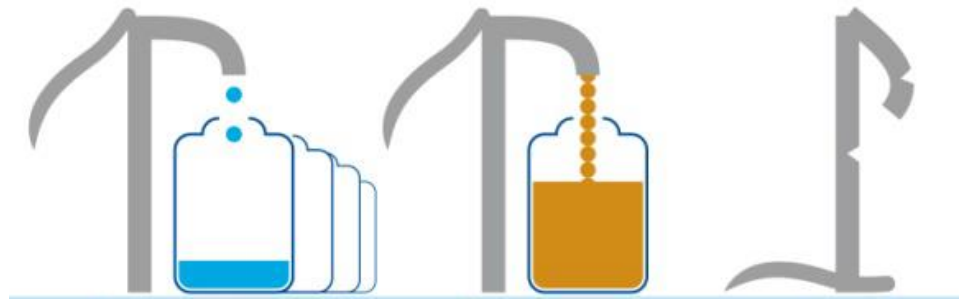
A field approach



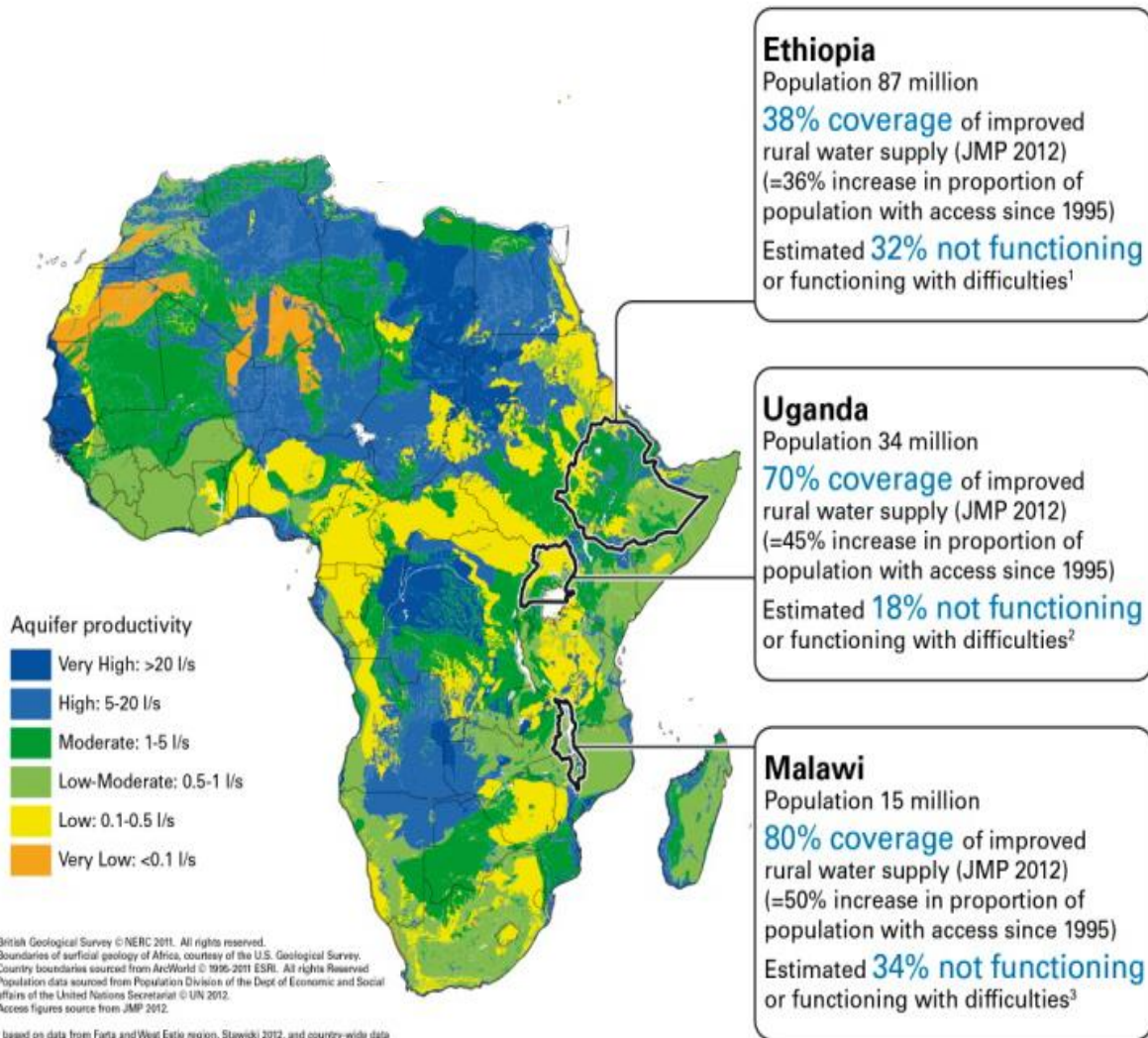
Our research objectives

Objective 1: To develop more comprehensive definitions of the functionality of water points and governance arrangements.

Year 1



Our research objectives



Objective 2: to apply this new definition to 3 countries, Ethiopia, Uganda and Malawi in a statistically significant survey to provide authoritative evidence about functionality.

Why these countries?

YEAR 1

Our research objectives

Objective 3: To understand the inter-dependencies between the factors governing source failure and success through detailed interdisciplinary research of approx 50 sources in each country

YEAR 2/3

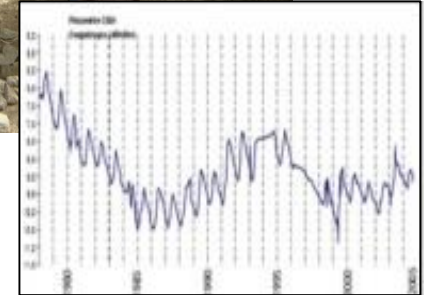


Trajectories

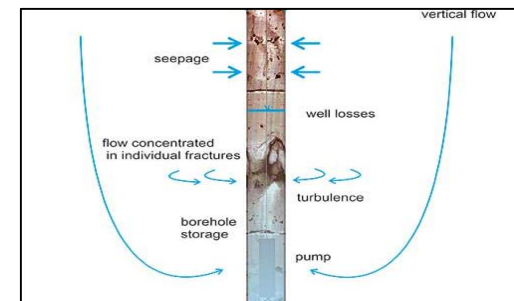
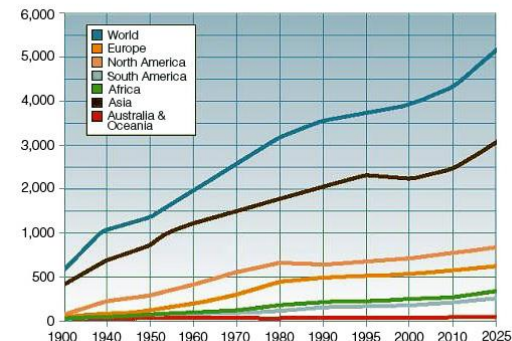
Objective 4: To examine and forecast trajectories and trends:

- Gw level and rainfall monitoring,
- scenario building (recharge, water demand and demographics);
- novel modelling of source behaviour
- Model aggregate impact of functionality trajectories on WASH coverage

YEARS 2 - 4



Global Water Consumption 1900 - 2025
(by region, in billions m3 per year)



Analysis



Objective 5: To develop an approach for building resilience into future rural water supply

YEARS 3 -4

Major research outcomes

A step change in the understanding of borehole functionality and its implication for WASH coverage figures

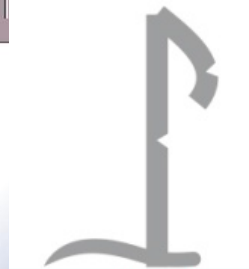
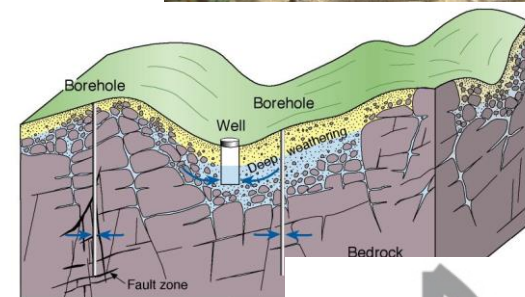
Deep understanding on the viability of the community management model for WASH

Quantitative evidence of groundwater storage, flow and recharge for key hydrogeological environments

Analysis of the contribution of environmental change to water supply functionality

An authoritative analysis of the main predictors of borehole functionality

Defendable forecasts of future functionality and therefore RWS coverage given plausible future trajectories.



Deliverables

Unique rich datasets at different scales on water governance, groundwater flow and storage, recharge processes, functionality, impact of water access on gender dynamics.

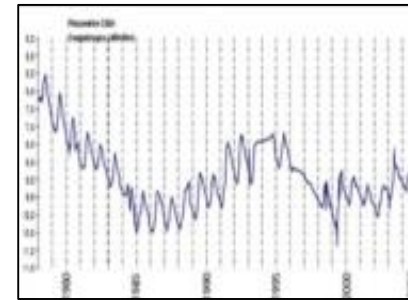
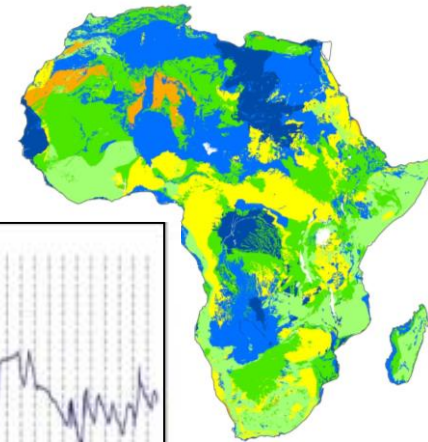
A new robust, replicable methodology for the research community

benchmark scientific papers, + 5-10 methods or case study papers

A team of interdisciplinary skilled researchers

A set of tools delivered through a manual, policy briefs and social media

A change in practice in the WASH community



Summary

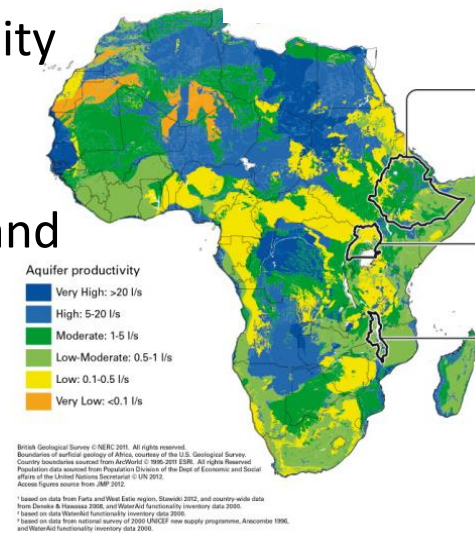
to move *from anecdote to evidence*

Truly interdisciplinary with an adaptive learning approach

Five main objectives:

1. Define nuanced understanding of functionality
2. Apply to Uganda, Ethiopia and Malawi
3. Detailed analysis of subset in each country and a focussed longitudinal study
4. Trends and forecasts
5. Analysis –interdisciplinary approaches

Impact and delivery: Publications, book, tools, working through WaterAid and others globally and in each country



Ethiopia
Population 87 million
38% coverage of improved rural water supply (JMP 2012)
(=36% increase in proportion of population with access since 1995)
Estimated **32% not functioning** or functioning with difficulties¹

Uganda
Population 34 million
70% coverage of improved rural water supply (JMP 2012)
(=45% increase in proportion of population with access since 1995)
Estimated **18% not functioning** or functioning with difficulties²

Malawi
Population 15 million
80% coverage of improved rural water supply (JMP 2012)
(=50% increase in proportion of population with access since 1995)
Estimated **34% not functioning** or functioning with difficulties³